

# Imprimitive Permutation Groups of Rank 3

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# Rank of a permutation group

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- Rank of  $G \leq \text{Sym}(\Omega)$  is the number of orbits
  - of  $G$  on  $\Omega \times \Omega$
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- Rank 2 (2-transitive) groups, 1980's  
(Huppert, Hering, Maillet, Howlet, Curtis, Kantor, Seitz, Cameron...)
  - Affine  $G \leq \text{AGL}(V)$
  - Almost simple  $G_0 \leq G \leq \text{Aut}(G_0)$

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  - Affine  $G \leq \text{AGL}(V)$
  - Almost simple  $G_0 \leq G \leq \text{Aut}(G_0)$
- Rank 3 primitive groups, 1980's  
(Cameron, Bannai, Kantor, Liebler, Liebeck, Saxl...)
  - (i)  $T \times T \triangleleft G \leq T \wr C_2$ ;  $T$ -simple,  $T_0 \leq \text{Aut}(T)$  - 2-trans.;  $n_0^2 = n$
  - (ii)  $G$  is affine
  - (iii)  $G$  is almost simple

## Imprimitive Rank 3 groups

(2)

**Proposition 1.** If  $G \leq \text{Sym}(\Omega)$  has rank 3 and imprimitive, then  $\exists$  unique non-trivial block system  $\Sigma$ .

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Known classifications:

- **Quasiprimitive**:  $\forall$  min. normal subgr. is transitive (Plinth)
- [1] [Devillers, Giudici, Li, Pearce, Praeger, 2011]
- **Innately transitive**:  $\exists$  transitive min. normal subgroup
- [2] [B., Devillers, Praeger, 2023]
- **Semiprimitive\***: normal subgr is either trans. or semireg.
- [3] [Huang, Li, Zhu, 202?]

## Classifications : QP + IT + SP

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Quasiprimitive  $\Rightarrow G \cong G^\Sigma$  is almost simple

Innately transitive  $\Rightarrow G^\Sigma$  is almost simple



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### Theorem [3]

If  $G \leq \text{Sym}(\Omega)$  is (imprimitive) semiprimitive of rank 3, then

a)  $G^\Sigma$  is almost simple (and known)

b)  $N \trianglelefteq G \leq N \rtimes \text{Aut}(N)$ ,  $N$  is regular special  $p$ -subgr.

In particular,  $\text{Aut}(N)$  has at mos 3 orbits on  $N$ .

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Plan:

1. Results from the 3 papers on  $G^\Sigma$ -almost simple + Example

2. Results on  $N$  s.t.  $\text{Aut}(N)$  has 3 orbits.

3. What if  $G^\Sigma$ -almost simple and no other conditions?

$M$	$ \Sigma $	$r$	$G$	Conditions on $G$	type
$\mathrm{PSL}_n(q)$	$\frac{q^n-1}{q-1}$	prime such that $r (q-1)$ , $o_r(p) = r-1$ and $(n, r) \neq (2, 2)$	$\langle \omega^r I \rangle \mathrm{SL}_n(q) / \langle \omega^r I \rangle \leq G \leq \Gamma \mathrm{L}_n(q) / \langle \omega^r I \rangle$	$ G^\Sigma / (G^\Sigma \cap \mathrm{PGL}_n(q))  = a/j$ with $(j, r-1) = 1$	qp/it/sp
$\mathrm{PSL}_2(q)$	$q+1$	2	$\langle \omega^2 I \rangle \mathrm{SL}_2(q) / \langle \omega^2 I \rangle \leq G \leq \Gamma \mathrm{L}_2(q) / \langle \omega^2 I \rangle$	$q \geq 5$ , $q$ is odd, $G^\Sigma \not\leq \mathrm{P}\Sigma \mathrm{L}_2(q)$ $ G^\Sigma / (G^\Sigma \cap \mathrm{PGU}_3(q))  = 2a/j$ with $(j, r-1) = 1$	qp/it/sp it
$\mathrm{PSU}_3(q)$	$q^3+1$	odd prime such that $r q-1$ , $o_r(p) = r-1$	$\langle \omega I \rangle \mathrm{SU}_3(q) / \langle \omega^r I \rangle \leq G \leq \Gamma \mathrm{U}_3(q) / \langle \omega^r I \rangle$		
$\mathrm{PSL}_3(2)$	7	2	$\mathrm{PSL}_3(2)$ , $C_2 \times \mathrm{PSL}_3(2)$	$G_\alpha = \mathrm{Alt}(5)$ $G_\alpha = \mathrm{M}_{11}$	qp, it
$\mathrm{M}_{11}$	11	2	$\mathrm{M}_{11}$ , $C_2 \times \mathrm{M}_{11}$		qp, it
$\mathrm{PSL}_3(4)$	21	6	$\mathrm{PGL}_3(4)$ , $\mathrm{P}\Gamma \mathrm{L}_3(4)$		qp, qp
$\mathrm{PSL}_3(5)$	31	5	$\mathrm{PSL}_3(5)$		qp
$\mathrm{PSL}_5(2)$	31	8	$\mathrm{PSL}_5(2)$		qp
$\mathrm{PSL}_3(8)$	73	28	$\mathrm{P}\Gamma \mathrm{L}_3(8)$		qp
$\mathrm{PSL}_3(3)$	13	3	$\mathrm{PSL}_3(3)$		qp
$\mathrm{Alt}(6)$	6	3	$3.\mathrm{Sym}(6)$		sp
$\mathrm{M}_{12}$	12	2	$2.\mathrm{M}_{12}$		sp

TABLE 1.1.  $G$  satisfying Hypothesis 1.1,  
 $q = p^a$  with  $p$  prime

Example:  $\text{Soe}(G^\Sigma) \cong \text{PSL}_n(q)$

⑤

$n \geq 2$ ,  $q = p^a \geq 3$ ,  $\langle \omega \rangle = \mathbb{F}_q^*$ ,  $V = \mathbb{F}_q^n$ ,  $\begin{pmatrix} V \\ 1 \end{pmatrix}$  - set of 1-subsp.

Let  $r$  - integer  $\text{div } q-1$ . Define:

$$\Omega = \{ \langle \omega^r \rangle u \mid u \in V^* \} \quad \varphi: \langle \omega^r \rangle u \mapsto \langle \omega^r \rangle (u) \varphi, \varphi \in \Gamma L_n(q)$$

kernel  $Y = \langle \omega^r I \rangle$ ,  $\overline{G} = \Gamma L_n(q) / Y \triangleleft \text{Sym}(\Omega)$

$$\Sigma = \{ \sigma(U) \mid U \in \begin{pmatrix} V \\ 1 \end{pmatrix} \} \quad \text{where } \sigma(U) = \{ \langle \omega^r \rangle \omega^i u \mid i=0, \dots, r-1 \}, \langle u \rangle = U$$

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**Theorem** If  $\text{SL}_n(q)Y/Y \leq G \leq \overline{G}$ , then:

- $G$  is semiprimitive on  $\Omega$
- $G$  is innately transitive  $\Leftrightarrow r \mid (q-1)/(n, q-1)$  [ $\text{SL}_n(q)Y/Y \cong \text{PSL}_n(q)$ ]
- $G$  is quasiprimitive  $\Leftrightarrow r \mid (q-1)/(n, q-1)$  and  $G \cap (\langle \omega I \rangle / Y) = 1$
- $G$  has rank 3 on  $\Omega \Leftrightarrow r$  is prime and ... (see Table)

**Theorem 1.1.** *Let  $G$  be a finite 3-orbit group with  $N = \langle G', \Phi(G) \rangle$  and  $|N| = p^n$ . Then  $1 < N < G$  and  $G$  is isomorphic to a group in lines 1 – 7 of Table 1. Moreover, the values of  $V \cong G/N$ ,  $A = \text{Aut}(G)^V$ ,  $W \cong N$ ,  $B = \text{Aut}(G) \downarrow W$  are valid, where  $\text{Aut}(G)^V$  and  $\text{Aut}(G) \downarrow W$  denote the groups induced on  $G/N$  and  $N$  by  $\text{Aut}(G)$ .*

Table 1: 3-orbit groups  $G$  and  $V \cong G/N$ ,  $A = \text{Aut}(G)^{G/N}$ ,  $B = \text{Aut}(G) \downarrow N$

$G$	$V$	$A$	$N$	$B$	Comments	Ref.
1. $(C_{p^2})^n$	$\mathbb{F}_p^n$	$\text{GL}_n(p)$	$\mathbb{F}_p^n$	$\text{GL}_n(p)$	$p \geq 2$ , $G$ abelian	p. 4
2. $\mathbb{F}_q^d \rtimes C_r$	$\mathbb{F}_r$	$\text{GL}_1(r)$	$\mathbb{F}_q^d$	$\Gamma\text{L}_d(q)$	$q = p^{r-1}$ , $p \neq r$ , $d = \frac{n}{r-1}$	6.12
3. $A(n, \theta)$	$\mathbb{F}_2^n$	$\Gamma\text{L}_1(2^n)$	$\mathbb{F}_2^n$	$\Gamma\text{L}_1(2^n)$	Def. 3.2(a), $n \neq 2^\ell$	6.14
4. $B(n)$	$\mathbb{F}_2^{2n}$	$\Gamma\text{L}_1(2^{2n})$	$\mathbb{F}_2^n$	$\Gamma\text{L}_1(2^n)$	Def. 3.2(b), $n \geq 1$	6.14
5. $P$	$\mathbb{F}_2^6$	$C_7 \rtimes C_9$	$\mathbb{F}_2^3$	$\Gamma\text{L}_1(2^3)$	Def. 3.2(c), $n = 3$	6.14
6. $\mathbb{F}_q^3 : \mathbb{F}_q^3$	$\mathbb{F}_q^3$	$\Gamma\text{L}_3(q)$	$\mathbb{F}_q^3$	$\Gamma\text{L}_3^+(q)$	$q = p^{\frac{n}{3}}$ odd, $3 \mid n$	6.9
7. $\mathbb{F}_{p^n} : \mathbb{F}_q^{\frac{m}{b}}$	$\mathbb{F}_q^{\frac{m}{b}}$	$\text{Sp}_{\frac{m}{b}}(q) \leq$	$\mathbb{F}_{p^n}$	$\Gamma\text{L}_1(p^n) \leq$	$q = p^b$ odd, $n \mid b \mid m$	6.2

**Theorem B.** *A finite group is a 3-orbit group if and only if it is one of the groups listed in Table 1, where  $p, q$  are distinct primes, and  $m, n$  are positive integers.*

TABLE 1. Finite 3-orbit groups

$N$	$\text{Aut}(N)$	Conditions	Ref
(1) $\mathbb{Z}_p^{n(q-1)}:\mathbb{Z}_q$	$A\Gamma L(n, p^{q-1})$	Example 2.1	2.2
(2) $\mathbb{Z}_{p^2}^n$	$\text{GL}(n, \mathbb{Z}/p^2\mathbb{Z}) \cong p^{n^2}.\text{GL}(n, p)$		2.3
(3) $A_2(n, \theta) \cong 2^{n+n}$	$2^{n^2}:\Gamma L(1, 2^n)$	$ \theta  \neq 1$ is odd	2.6
(4) $\text{SU}(3, 2^n)_2 \cong 2^{n+2n}$	$2^{2n^2}:\Gamma L(1, 2^{2n})$		2.6
(5) $P(\epsilon) \cong 2^{3+6}$	$2^{18}:(\mathbb{Z}_7:\mathbb{Z}_9)$		2.6
(6) $A_p(n, \theta) \cong p^{n+n}$	$p^{n^2}:\Gamma L(3, p^{n/3})$	$p$ is odd and $ \theta  = 3$	4.3
(7) $q_+^{1+2m} \cong p^{n+2mn}$	$p^{2mn^2}:(\text{Sp}(2m, q):\Gamma L(1, q))$	$q = p^n$ and $p$ is odd	4.4
(8) $q_+^{1+2m}/U \cong p^{n_0+2mn}$	$p^{2mn_0n}:(\text{Sp}(2m, q):\Gamma L(1, q)_U)$	Example 5.3	5.4

Can we classify all  $G$  of rank 3 with  $G_2^{\Sigma}$ -almost simple?  $\textcircled{7}$

Let  $G \leq Y \wr X$ ,  $Y = G_0^{\sigma}$  ( $\text{deg } r$ ),  $X = G^{\Sigma}$  ( $\text{deg } n$ ) - both 2-transitive.

Let  $T = \text{soc}(Y)$ ,  $L = G \cap T^n$ ,  $K = G(\Sigma)$



Can we classify all  $G$  of rank 3 with  $G_{\alpha}^{\xi}$ -almost simple? (7)

Let  $G \leq Y \wr X$ ,  $Y = G_{\sigma}^{\sigma}$  (deg  $r$ ),  $X = G^{\xi}$  (deg  $n$ ) - both 2-transitive.

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**Theorem [1]**. Assume  $T$  is non-abelian simple group.  
 $G$  has rank 3  $\Leftrightarrow$  one of the following holds:

1)  $L = T^n$

2)  $G$  is quasiprimitive of rank 3

3)  $n = 2$ ,  $G = M_{10}$ ,  $PGL_2(9)$  or  $\text{Aut}(A_6)$  acting on 12 points

4)  $n = 2$ ,  $G = \text{Aut}(M_{12})$  acting on 24 points

Can we classify all  $G$  of rank 3 with  $G_{\Sigma}^{\Sigma}$ -almost simple? (7)

Let  $G \leq Y \wr X$ ,  $Y = G_{\sigma}^{\sigma}$  (deg  $r$ ),  $X = G^{\Sigma}$  (deg  $n$ ) - both 2-transitive.  
Let  $T = \text{soc}(Y)$ ,  $L = G \cap T^n$ ,  $K = G_{\Sigma}$

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**Theorem [3]**. Assume  $Y$  is affine ( $T = \mathbb{F}_p^d$ ),  $G$  has rank 3  $\Rightarrow$

- 1)  $G$  is semiprim. with  $G_{\Sigma}^{\Sigma}$ -almost simple
- 2)  $N \trianglelefteq G \leq N \rtimes \text{Aut}(N)$ ,  $N$  is regular 3-orbit subgroup.
- 3)  $K(\sigma)$  is transitive on  $\sigma' \in \Sigma \setminus \{\sigma\}$
- 4)  $K(\sigma) \neq 1$  is intransitive on  $\sigma'$ ;  $G$  has el-ab self-centr. normal subgroup. ( $L$ )

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- 1)  $G$  is semiprim. with  $G_{\sigma}^{\Sigma}$ -almost simple  $\text{D}$   $G_{\sigma}^{\Sigma}$ -affine
- 2)  ~~$N \leq G \leq N \rtimes \text{Aut}(N)$ ,  $N$  is regular 3-orbit subgroup.~~

3)  $K(\sigma)$  is transitive on  $\sigma' \in \Sigma \setminus \{\sigma\}$

4)  $K(\sigma) \neq 1$  is intransitive on  $\sigma'$ ;  $G$  has el-ab self-centr. normal subgroup. ( $L$ )  
 $\rightarrow C_G(L) = L$

Can we classify all  $G$  of rank 3 with  $G_{\Sigma}^{\Sigma}$ -almost simple?

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Let  $G \leq Y \wr X$ ,  $Y = G_{\sigma}^{\sigma}$  (affine,  $\deg r$ ),  $X = G^{\Sigma}$  (almost simple,  $\deg n$ ) - both 2-transitive.  
Let  $T = \text{soc}(Y) \cong \mathbb{F}_p^d$ ,  $L = G \cap T^n$ ,  $K = G_{\Sigma}$   
 $Y = T \rtimes Y_0$

Can we classify all  $G$  of rank 3 with  $G^\Sigma$ -almost simple? (8)

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$$\left. \begin{array}{l} \bullet C_G(L) = L \\ C_G(T^n) \leq C_G(L) = L \end{array} \right\} \Rightarrow \begin{array}{c} \overline{G} \\ \parallel \\ G/L \leq \text{Aut}(T^n) = GL_{nd}(\mathbb{F}_p) \end{array}$$

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• Basis  $\beta = \beta_1 \cup \dots \cup \beta_n$  of  $V = \mathbb{F}_p^{nd}$

•  $\overline{G} \leq Y_0 \wr X \leq \text{GL}_{nd}(\mathbb{F}_p)$

$$g \sim \begin{pmatrix} \boxed{g_1} & & \\ & \boxed{g_2} & \\ & & \ddots \\ \boxed{g_3} & & \end{pmatrix}$$

$$g_i \in Y_i \leq \text{GL}_d(\mathbb{F}_p)$$

Can we classify all  $G$  of rank 3 with  $G_{\Sigma}$ -almost simple? ⑧

Let  $G \leq Y \wr X$ ,  $Y = G_{\sigma}^{\text{deg } r}$ ,  $X = G_{\Sigma}^{\text{deg } n}$  — both 2-transitive.   
 Let  $T = \text{soc}(Y) \cong \mathbb{F}_p^d$ ,  $L = G \cap T^n$ ,  $K = G_{\Sigma}$

$$Y = T \rtimes Y_0$$

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$$\parallel$$

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$$g_i \in Y_i \leq GL_d(\mathbb{F}_p)$$

$L \leq V$  is  $\overline{G}$ -invariant subspace. What are the options?

$\overline{G}$  is monomial

⑨

- $d=1$ ,  $\overline{G} \leq M_n(\mathbb{F}_p)$  - subgroup of monomial matr. in  $GL_n(\mathbb{F}_p)$
- $\varphi: M_n(p) \rightarrow \text{Sym}(n)$
- $\varphi(\overline{G}) = X$  - 2-transitive almost simple.



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### Lemma

If  $\bar{G} \cap D_n(p) \not\leq Z(GL_n(p))$  then  $\bar{G}$  is irreducible.

- $\Rightarrow L = V = T^n$ , so  $L(\sigma) \leq K(\sigma)$  is transitive on  $\sigma^1$  and  $G'$  has rank 3

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If  $\overline{G} \leq Z \times X$ , then  $\overline{G}$  leaves invariant the following:

- 1)  $U = \langle (1, 1, \dots, 1) \rangle$  of dim 1
- 2)  $U^\perp = \{ (a_1, \dots, a_n) \mid \sum a_i = 0 \}$  of dim  $n-1$ .
- 3) Sometimes something else see B. Mortimer paper

'The modular perm. rep. of known 2-trans. groups' 1990

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If  $\overline{G} \leq Z \times X$ , then  $\overline{G}$  leaves invariant the following:

- 1)  $U = \langle (1, 1, \dots, 1) \rangle$  of dim 1 **not rank 3**
- 2)  $U^\perp = \{ (a_1, \dots, a_n) \mid \sum a_i = 0 \}$  of dim  $n-1$ . **rank 3**
- 3) Sometimes something else see B. Mortimer paper

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TABLE 1. Reducibility of the hearts of the known 2-transitive groups

Group, $G$	Degree $ \Omega $	Transitivity	Conditions under which the heart of $G$ over $K$ is reducible ( $K$ a field of characteristic $p$ )
$\text{Sym}(n), n \geq 3$	$n$	$n$	always simple
$\text{Alt}(n), n \geq 5$	$n$	$n-2$	always simple
$\text{Alt}(4) = \text{AGL}(1, 4)$	4	2	$K \geq F_4$
$G \leq \text{AFL}(d, q)$ containing the translations	$q^d$	2 or 3	$p$ divides $q$
$\text{PSL}(d, q) \leq G$ $\leq \text{PTL}(d, q), d \geq 3$	$(q^d - 1)/(q - 1)$	2	$p$ divides $q$
$G \cong \text{Alt}(7) < \text{PGL}(4, 2)$	15	2	$p = 2$
$[\text{Sp}(2m, 2)]^-, m \geq 3$	$2^{m-1}(2^m - 1)$	2	$p = 2$
$[\text{Sp}(2m, 2)]^+, m \geq 2$	$2^{m-1}(2^m + 1)$	2	$p = 2$
$G$ , a 3-transitive subgroup of $\text{PFL}(2, q)$	$q + 1$	3	always simple
$\text{PSL}(2, q) \leq G \leq \text{P}\Sigma\text{L}(2, q)$	$q + 1$	2	$K \geq F_2$ if $q \equiv \pm 1 \pmod{8}$ $K \geq F_4$ if $q \equiv \pm 3 \pmod{8}$
$\text{Sz}(q) \leq G \leq \text{Aut}(\text{Sz}(q))$	$q^2 + 1$	2	$p$ divides $q + 1 + m$ where $m^2 = 2q$
$\text{PSU}(3, q^2) \leq G$ $\leq \text{PFU}(3, q^2)$	$q^3 + 1$	2	$p$ divides $q + 1$
$\text{Re}(q) \leq G \leq \text{Aut}(\text{Re}(q))$	$q^3 + 1$	2	$p$ divides $(q + 1)(q + m + 1)$ and perhaps if $p$ divides $(q - m + 1)$ where $m^2 = 3q$
$M_{24}$	24	5	$p = 2$
$M_{23}$	23	4	$p = 2$
$M_{22}$	22	3	$p = 2$
$M_{12}$	12	5	always simple
$M_{11}$	11	4	always simple
$M_{11}$	12	3	$p = 3$
$\text{PSL}(2, 11)$	11	2	$p = 3$
HS	176	2	$p = 2, 3$
$\text{CO}_3$	276	2	perhaps if $p = 2$ or $3$

$\overline{G}$  is monomial

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Conjecture.

If  $\overline{G} \cap D_n(p) \leq Z$ , then  $\overline{G}$  is conjugate to a subgroup of  $Z \cdot \text{Per}_n(p)$  by an element from  $M_n(p)$ .